



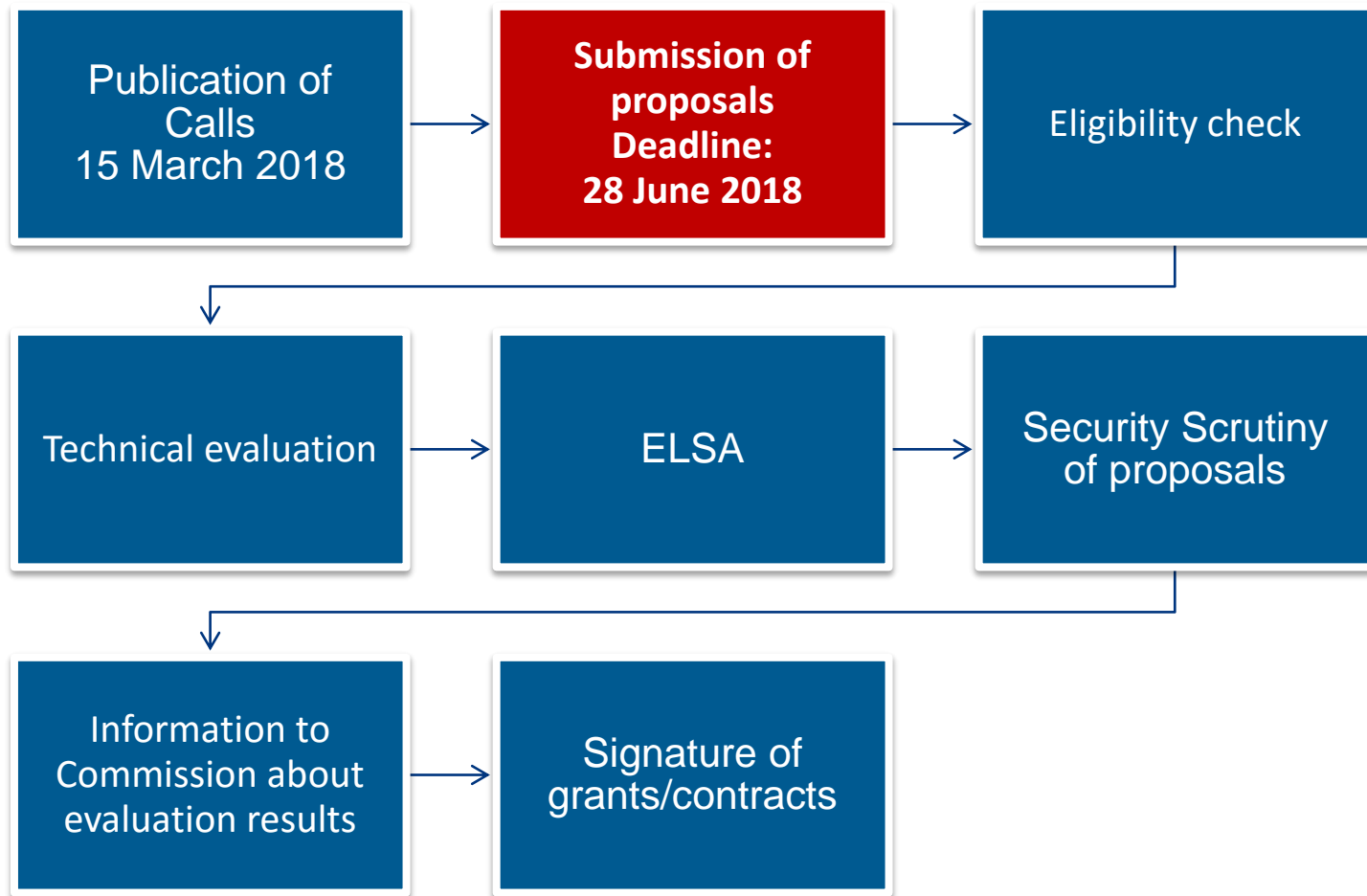
PREPARATORY ACTION ON DEFENCE RESEARCH



Conditions for participation and evaluation

INFODAY AND BROKERAGE EVENT
12 APRIL 2018

From proposal to grant



Call for proposals

- **PADR-EDT-02-2018:** European high-performance, trustable (re)configurable system-on-a-chip or system-in-package for defence applications.
- **PADR-EF-02-2018:** Towards a European high power laser effector.
- **PADR-STF-02-2018:** The European Defence Research Runway – Part II.

Deadline: 28 June 2018

Call for proposals – General Annexes

- A. List of countries and entities eligible for participation and funding
- B. Standard admissibility conditions and related requirements
- C. Standard eligibility conditions
- D. Types of action: specific provisions and funding rates
- E. Technology readiness levels (TRL)
- F. Evaluation rules
- G. Review of ethical, legal and societal aspects ('ethics review')
- H. Actions involving classified information
- I. Exploitation and dissemination of results

The General Annexes

Annex A: List of countries and entities eligible for participation and funding

Legal entities established in the following countries and territories for the whole duration of the grant agreement:

- Member States of the EU^{*}, including their overseas departments
- OCT linked to MS
- Norway^{**}
- Also eligible: JRC + IOs

Note: security conditions apply

^{*} For British applicants, the eligibility criteria must be complied with for the entire duration of the grant. If the United Kingdom withdraws from the EU during the grant period without concluding an agreement with the EU ensuring in particular that British applicants continue to be eligible, you will cease to receive EU funding (while continuing, where possible, to participate) or be required to leave the project on the basis of article 50.3.1(b) of the Grant Agreement.

^{**} For Norwegian applicants, all eligibility criteria must be complied with at least on the 31 December 2018. If the participation of the Kingdom of Norway in the PADR is not confirmed at that date, Norwegian participants will cease to receive EU funding (while continuing, where possible, to participate) or be required to leave the consortia on the basis of art. 50.3.1(b) of the Grant Agreement.

The General Annexes

Annex B: Standard admissibility conditions and related requirements

- Electronic submission system
- Proposal must be readable, accessible and printable
- Incomplete proposals are inadmissible
- Operational & Financial capacity
- Plan for exploitation and dissemination

Addition requirements - page limit (for sections 1 – 3 only)

- **70 pages** for RA
- **50 pages** for CSA

The General Annexes

Annex C: Standard eligibility conditions

- Proposal must correspond to topic description
- RA: min 3 legal entities – each from diff MS or NO
- CSA: min 1 legal entity from MS or NO
- Infrastructure, facilities, etc. should not be located on territory of non-MS or NO
- Possible: additional conditions in the Call

The General Annexes

Annex D: Types of actions: specific provisions and funding rates

Funding rates:

- RA: 100% of the eligible costs
- CSA: 100% of the eligible costs

Indirect costs: 25% of eligible direct costs, excluding eligible direct costs for subcontracting

The General Annexes

Annex D: Types of actions: specific provisions and funding rates

Form of eligible costs (PADR MGA Art 6):

- Direct Personnel Costs: staff costs related to the personnel working for the beneficiary and assigned to the action
- Cost of subcontracting
- Cost of Linked Third parties
 - Not applicable - PADR MGA Art 11 and 12 - use of in-kind contributions provided by third parties
- Other direct costs: travel costs and related subsistence allowances, other goods or services
- Indirect costs: 25% of Direct Personnel Costs + Other direct costs

Ineligible costs: debt and debt service charges, interest owed, currency exchange losses, etc.

The General Annexes

Annex E: TRL levels

Where a topic description refers to a TRL, the following definitions apply, unless otherwise specified:

- TRL 1 – basic principles observed
- TRL 2 – technology concept formulated
- TRL 3 – experimental proof of concept
- TRL 4 – technology validated in lab
- TRL 5 – technology validated in relevant environment
- TRL 6 – technology demonstrated in relevant environment
- TRL 7 – system prototype demonstration in operational environment
- TRL 8 – system complete and qualified
- TRL 9 – actual system proven in operational environment (competitive manufacturing in the case of key enabling technologies; or in space)

The General Annexes

Annex F: Evaluation (1)

Evaluation according to **Art 133 of EU Financial Regulation**

- Selection criteria
 - Financial capacity
 - Operational capacity
- Award criteria
 - Excellence
 - Impact
 - Quality & Efficiency of the proposal

The General Annexes

Annex F: Evaluation (2)

Scoring and weighing:

- Each criteria scored out of 5
- Threshold for individual criteria: 3
- Overall Threshold: 10

Priority order for ex aequo proposals:

- Topics not covered
- Criteria Excellence
- Criteria Impact (this is reverse when Call gives more weight to impact)
- Size of budget to SME/ gender balance
- Further prioritization based on synergies between topics and general objectives of the PA

The General Annexes

Annex F: Evaluation procedure

- Calls subject to a one-stage submission and evaluation procedure
- Evaluation committee by EDA and assisted by independent experts:
 - Chosen based on skills, experience, knowledge
 - Security clearance
 - Validation by MS
 - Balanced composition of experts
 - Conflict of interest
- Next steps for evaluation committee and EDA:
 - Ranked list
 - Evaluation Summary Report (ESR) to proposal coordinator

The General Annexes

Annex G: Ethics or ELSA review

- Review of ethical, legal and societal aspects
- Respect of legislation, compliance with international law, societal impact of the proposed action
- Review by a group of experts on military ethical and legal issues

The General Annexes

Annex H: Classified information

- Security Scrutiny of proposals
- Security Aspects Letter & Security Classification Guide
- Personnel Security Clearance and Facility Security Clearance
- Security Scrutiny of results
- Information must be treated according to classification level until reassessment

The General Annexes

Annex I: Exploitation and dissemination of results (1)

- Ownership: results are owned by the beneficiary generating them
- Joint ownership
- Protection of results
- Best efforts to exploit results
- Transfer and licensing of results
- Transfer of ownership or granting a license to third parties established in a third country

The General Annexes

Annex I: Exploitation and dissemination of results (2)

- Background
- Access rights principles for beneficiaries
- Access rights for implementation by beneficiaries
- Access rights for exploitation by beneficiaries
- Access rights for the Union, the Member States and Norway
- Special Report

Additional documents

- The Guide for Applicants
- The Model Grant Agreement
- Q&A – Already available with FAQ

All of them already available on the Participants Portal

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Q&A