

### 2024 Annual Report

## on implementation of Article 18 of the EDA Staff Regulations

## 1. Legal basis

On the basis of Article 18 of the EDA Staff Regulations,<sup>1</sup> members of temporary staff continue to be bound by the duty to behave with integrity and discretion as regards the acceptance of certain appointments or benefits, after leaving the service.

Those former members of temporary staff who intend to engage in an occupational activity within two years of leaving the service, shall inform the institution thereof in order to enable it to take an appropriate decision in this respect and, when necessary, to forbid an activity or give the approval subject to appropriate restrictions.

The third paragraph of Article 18 of the Staff Regulations stipulates that for former senior officials, the authority authorised to conclude contracts (hereinafter "AACC")<sup>2</sup> shall, in principle, prohibit them, during the 12 months after leaving the service, from engaging in lobbying or advocacy vis-à-vis staff of their former institution for their business, clients or employers on matters for which they were responsible during the last three years in the service.

The fourth paragraph of Article 18 of the Staff Regulations foresees that, in compliance with Regulation (EU) 2018/1725 on data protection<sup>3</sup>, each institution shall publish annually information on the implementation of the third paragraph, including a list of the cases assessed.

The Agency, in its publication, is basing itself on its obligation under the fourth paragraph of Article 18 of the Staff Regulations in combination with Article 5(1)(a) and (b) of Regulation (EU) 2018/1725.

# 2. Approach for the assessment of cases

The assessment of the cases reported in 2024 was based on the criteria and procedure set out below:

# Definition of senior members of temporary staff

"Senior members of temporary staff", as referred under Article 18(3) refers to staff members occupying functions corresponding to the type of post in grades AD 14 and above, namely:

- Chief Executive and the Deputy Chief Executive
- Directors (including officials that have been called upon to occupy temporarily such post in accordance with Article 9(2) of the Staff Regulations)

They are referred to hereinafter as "senior staff members".

<sup>&</sup>lt;sup>1</sup> Council Decision (EU) 2016/1351 of 04 August 2016 concerning the Staff Regulations of the European Defence Agency, and repealing Decision 2004/676/EC. (OJ L 219, 12.08.2016, p.1)

<sup>&</sup>lt;sup>2</sup> In accordance with Article 1.2 of the EDA Staff Regulations, the AACC is determined in accordance with the relevant provisions of the EDA Council Decision (Council Decision (CFSP)2015/1835, OJ L 266, 13.10.2015).

<sup>&</sup>lt;sup>3</sup> Regulation (EU) 2018/1725 of the European Parliament and of the Council of 23 October 2018 on the protection of natural persons with regard to the processing of personal data by the Union institutions, bodies, offices and agencies and on the free movement of such data, and repealing Regulation (EC) No 45/2001 and Decision No 1247/2002/EC. (OJ L 295, 21.11.2018, p.39).

## The decision-making procedure

Senior staff members intending to engage in post-employment activities within two years after leaving the service, shall submit their request to the Ethics Officer using a dedicated form. The request must be submitted before engaging in the occupational activity and shall allow EDA at least 30 days to make its decision. If the request is incomplete, the Agency will request additional information and the 30-day period will be suspended until the requested information is provided.

The Ethics Officer will provide a first opinion on the senior staff member's request after having consulted the relevant services where necessary. Subsequently, the head of HR Unit and the CSD Director will provide their opinion on the request.

After receiving the observations of the Head of HR unit and CSD Director, the Ethics Officer will consult with EDA's Staff Committee.

After receiving the observations of EDA's Staff Committee, the Ethics Officer will prepare a consolidated draft decision, which will be sent for assessment by the Deputy Chief Executive. Once the Deputy Chief Executive's assessment is received, the draft decision will be sent to the AACC for approval and signature.

# Occupational activities of senior staff members

For senior staff members, "occupational activities" constituting lobbying or advocacy vis-à-vis staff of the former senior staff member's former institution for their business, client or employers on matters for which that senior staff member was responsible during the last three years in service, shall in principle be prohibited by the AACC during the 12 months after leaving the service.

The assessment of the Agency is not limited to envisaged activities whose <u>only</u> object or core object would have been lobbying or advocacy activities. Indeed, even if lobbying or advocacy is excluded at the point in time of the notification, certain activities could, in practice or theory, give rise to, or entail, lobbying or advocacy because of their nature. In such instances, the Agency has decided to widen the analysis to take account of these possibilities and to assess the notified activity within the framework of Article 18(3) of the Staff Regulations.

It is further clarified that the present information covers activities that have been notified and effectively undertaken. In line with the legal provisions in force, it does not cover notifications received and relating to activities which could not, by their very nature, give rise to or entail such lobbying or advocacy.

# Scope of publication of individual cases assessed

EDA publishes an overview of all the individual cases of senior staff members it assesses, providing the following details:

- name of the former senior staff member;
- date of end of service;

- description of the former senior staff member's tasks during the last three years at the Agency;
- if applicable, name of proposed employer/client and description of the proposed tasks during the twelve months after leaving the service;
- summary assessment by the AACC and decision.

## 3. Specific cases in 2024

### Director Research, Technology and Innovation (RTI)

In 2024, the Director Research, Technology and Innovation (RTI) at the European Defence Agency filed an application for authorisation to engage in an occupational activity after leaving EDA.

This activity was authorized by the AACC.

Further details of this specific case and the summary of the assessment and conclusion by the AACC are given below.

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#### Summary of relevant Decisions by the AACC in 2024

#### CONCERNS

# Mr Jean-François RIPOCHE – Former Director of Research, Technology and Innovation (RTI) Directorate at the European Defence Agency.

Mr RIPOCHE was appointed Research, Technology and Innovation (RTI) Director as of 1 May 2019, as temporary staff (AD 14) under the EDA Staff Regulations for an initial duration of three years, extended in 2021 with a new end of contract date on 30 April 2024.

Mr RIPOCHE's duties included providing strategic vision, direction and leadership to achieve the RTI Directorate's mission, ensuring the support of Heads of Unit, coherence among the work and the programmes assigned to the Directorate.

END OF SERVICE: 30/04/2024

NEW ACTIVITY

#### **Application**

On 29 April 2024, Mr RIPOCHE applied for authorisation to engage in an occupational activity after leaving the service indicating he would be working for the Ministry of Defence of France with a contract duration of 3 years.

#### ASSESSMENT AND DECISION

Based on an assessment of the application and elements provided, the AACC authorised Mr RIPOCHE's occupational activity with the Ministry of Defence of France.

Additionally, Mr RIPOCHE was reminded of his duty under Article 19 of the EDA Staff Regulations to refrain from any unauthorised disclosure of information received in the line of duty, unless that information has already been made public or is accessible to the public.